

SERIES OF ITERATES SUMMING UP TO THE IDENTITY FUNCTION

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The following problem seems to be quite natural and elementary: Given a function $F : D \rightarrow D$ (where D is a set of reals or, more generally, a subset of a linear space) and numbers a_1, \dots, a_k find all functions $f : D \rightarrow D$ satisfying the equation

$$\sum_{i=1}^k a_i f^i(x) = F(x). \quad (1)$$

(Here f^i denotes the i th iterate of f .) Observe that the question posed in such a generality contains among others the classical problem of fractional iterates which has a vast literature (see [4, Chapter XV], [5, Chapter 11], and [7, Chapter 2]). Some other special cases of equation (1) were studied in various settings by many authors (see, for instance, the references of [3]). In particular, the paper [3] deals with the equation

$$\sum_{i=1}^k a_i f^i(x) = x. \quad (2)$$

To formulate the main result of it let us introduce the following notation useful also in further considerations. Given a (finite or not) sequence a of elements of $[-\infty, \infty]$ define the support $\text{supp } a$ of a as the set of all i 's for which a_i does not vanish. By the greatest common divisor of a non-void set $I \neq \{0\}$ of integers we mean the maximal number $p \in \mathbb{N}$ such that $I \subset p\mathbb{Z}$.

Consider the characteristic equation

$$\sum_{i=1}^k a_i \lambda^i = 1 \quad (3)$$

of (2). Observe that if a_1, \dots, a_k are non-negative and at least one of them is positive then there is a unique positive root, say c , of (3).

Theorem A ([3]). *Let a_1, \dots, a_k be non-negative not all zero reals such that the greatest common divisor of $\text{supp}(a_1, \dots, a_k)$ equals 1.*

If either $D \subset (-\infty, 0)$, or $D \subset (0, \infty)$ and $f : D \rightarrow D$ satisfies equation (2) then

$$f(x) = cx$$

for every $x \in D$.

Recently, Jacek Tabor and Józef Tabor [6] using completely different methods have generalized Theorem A getting the same conclusion for the equation

$$\sum_{i=0}^k a_i f^i(x) = 0$$

where the numbers a_0, \dots, a_k satisfy conditions weaker than those above (in particular, they need not have the same sign).

As a main tool in the proof of Theorem A we used [2, Theorem 1.1]. In the present paper we extend Theorem A to the infinite order equation

$$\sum_{i=1}^{\infty} a_i f^i(x) = x \tag{4}$$

applying the following “two-dimensional” case of [2, Theorem 1.1], originally proved by K. Baron and the author.

Lemma B ([1]). *If $\alpha : \mathbb{N}_0^2 \rightarrow \mathbb{R}$ is a non-negative solution of the equation*

$$\alpha(m+1, n) + \alpha(m, n+1) = \alpha(m, n)$$

then

$$\alpha(m, n)^2 \leq \alpha(m-p, n-q) \alpha(m+p, n+q)$$

for every $(m, n) \in \mathbb{N}_0^2$ and $(p, q) \in \mathbb{Z}^2$ such that $|p| \leq m$ and $|q| \leq n$.

Although formally weaker Lemma B suitably used will allow us to obtain the Theorem below which is essentially more general than Theorem A.

Given a sequence $a : \mathbb{N} \rightarrow \mathbb{R}$ of non-negative reals consider the characteristic equation

$$\sum_{i=1}^{\infty} a_i \lambda^i = 1 \tag{5}$$

and observe that it has at most one positive root. (Now, contrary to the case of (3), it need not have a positive root at all even if all a_i 's are positive.)

The main result reads as follows.

Theorem. Let $a : \mathbb{N} \rightarrow \mathbb{R}$ be a non-zero sequence of non-negative reals such that the greatest common divisor of $\text{supp } a$ equals 1.

If either $D \subset (-\infty, 0)$, or $D \subset (0, \infty)$ and $f : D \rightarrow D$ satisfies equation (4) then there is exactly one positive root c of equation (5) and

$$f(x) = cx$$

for every $x \in D$.

Before proving this result we shall make some preliminary remarks.

In the set $[0, \infty]^{\mathbb{N}}$ we introduce the convolution operation \star by putting

$$(a \star b)_j := \sum_{i=1}^{j-1} a_i b_{j-i}, \quad j \in \mathbb{N},$$

where, by definition, $0 \cdot \infty = \infty \cdot 0 := 0$ and the sum over the empty set of indices equals zero. It is an easy observation that the operation \star is associative and commutative. Moreover,

$$\text{supp } a \star b = \text{supp } a + \text{supp } b$$

for all $a, b \in [0, \infty]^{\mathbb{N}}$.

Given a sequence $a \in [0, \infty]^{\mathbb{N}}$ and a positive integer n denote by $a^{(n)}$ the n th convolution power of a :

$$a^{(n)} := \underbrace{a \star \dots \star a}_{n \text{ times}}.$$

Then

$$a_i^{(n)} = \sum_{\substack{i_1, \dots, i_n \in \mathbb{N} \\ i_1 + \dots + i_n = i}} a_{i_1} \cdot \dots \cdot a_{i_n}, \quad i \in \mathbb{N}, \quad (6)$$

and

$$\text{supp } a^{(n)} = \underbrace{\text{supp } a + \dots + \text{supp } a}_{n \text{ times}}. \quad (7)$$

In particular, we have $a^{(1)} = a$. Additionally we put

$$a_i^{(\infty)} := \sum_{m=1}^{\infty} 2^{-m} a_i^{(m)}, \quad i \in \mathbb{N}. \quad (8)$$

Lemma. Let $a : \mathbb{N} \rightarrow [0, \infty]$ and $n \in \mathbb{N} \cup \{\infty\}$. Then:

(a) a positive real number λ is a root of equation (5) if and only if

$$\sum_{i=1}^{\infty} a_i^{(n)} \lambda^i = 1;$$

(b) if $D \subset (0, \infty)$ then every solution $f : D \rightarrow D$ of equation (4) is a solution of the equation

$$\sum_{i=1}^{\infty} a_i^{(n)} f^i(x) = x.$$

Proof. Fix a number $\lambda \in (0, \infty)$ and put $\mu = \sum_{i=1}^{\infty} a_i \lambda^i$. Clearly $\mu \in [0, \infty]$. If n is finite then, by (6),

$$\sum_{i=1}^{\infty} a_i^{(n)} \lambda^i = \sum_{i=1}^{\infty} \sum_{\substack{i_1, \dots, i_n \in \mathbb{N} \\ i_1 + \dots + i_n = i}} a_{i_1} \cdot \dots \cdot a_{i_n} \lambda^{i_1 + \dots + i_n} = \sum_{i_1=1}^{\infty} a_{i_1} \lambda^{i_1} \cdot \dots \cdot \sum_{i_n=1}^{\infty} a_{i_n} \lambda^{i_n} = \mu^n.$$

Hence, using also (8), we have

$$\sum_{i=1}^{\infty} a_i^{(\infty)} \lambda^i = \sum_{i=1}^{\infty} \sum_{m=1}^{\infty} 2^{-m} a_i^{(m)} \lambda^i = \sum_{m=1}^{\infty} 2^{-m} \sum_{i=1}^{\infty} a_i^{(m)} \lambda^i = \sum_{m=1}^{\infty} 2^{-m} \mu^m.$$

Thus assertion (a) follows.

To prove part (b) we proceed inductively. Assume that $D \subset (0, \infty)$ and $f : D \rightarrow D$ satisfies (4) and the condition

$$\sum_{i=1}^{\infty} a_i^{(m)} f^i(x) = x, \quad x \in D, \quad (9)$$

for an $m \in \mathbb{N}$. Then for any $x \in D$ we have

$$\begin{aligned} \sum_{j=1}^{\infty} a_j^{(m+1)} f^j(x) &= \sum_{j=1}^{\infty} (a^{(m)} \star a)_j f^j(x) = \sum_{j=1}^{\infty} \sum_{i=1}^{j-1} a_i^{(m)} a_{j-i} f^j(x) \\ &= \sum_{i=1}^{\infty} \sum_{j=i+1}^{\infty} a_i^{(m)} a_{j-i} f^j(x) = \sum_{i=1}^{\infty} a_i^{(m)} \sum_{j=1}^{\infty} a_j f^j(f^i(x)) = \sum_{i=1}^{\infty} a_i^{(m)} f^i(x) = x. \end{aligned}$$

Having (9) proved for all $m \in \mathbb{N}$ we get

$$\sum_{i=1}^{\infty} a_i^{(\infty)} f^i(x) = \sum_{i=1}^{\infty} \sum_{m=1}^{\infty} 2^{-m} a_i^{(m)} f^i(x) = \sum_{m=1}^{\infty} 2^{-m} \sum_{i=1}^{\infty} a_i^{(m)} f^i(x) = \sum_{m=1}^{\infty} 2^{-m} x = x$$

for every $x \in D$ which completes the proof. \square

Proposition. Let $a : \mathbb{N} \rightarrow [0, \infty]$ be a non-zero sequence and let $r \in \mathbb{N}$. If $c = a^{(\infty)}$ and $b = c^{(r)}$ then:

(i) a positive real number λ is a root of equation (5) if and only if

$$\sum_{i=1}^{\infty} b_i \lambda^i = 1; \quad (10)$$

(ii) if $D \subset (0, \infty)$ then every solution of equation (4) is a solution of the equation

$$\sum_{i=1}^{\infty} b_i f^i(x) = x; \quad (11)$$

(iii) $\text{supp } b$ is an additive subsemigroup of $\{i \in \mathbb{N} : i \geq r\}$.

If, in addition, the greatest common divisor of $\text{supp } a$ equals 1 then

(iv) $\{i \in \mathbb{N} : i \geq p\} \subset \text{supp } b$ for an integer $p \geq r$.

Proof. Conditions (i) and (ii) follows immediately from the Lemma. Moreover, equalities (8) and (7) yield

$$\text{supp } c = \text{supp } a^{(\infty)} = \bigcup_{n=1}^{\infty} \text{supp } a^{(n)} = \bigcup_{n=1}^{\infty} \left(\underbrace{\text{supp } a + \dots + \text{supp } a}_{n \text{ times}} \right)$$

and

$$\text{supp } b = \underbrace{\text{supp } c + \dots + \text{supp } c}_{r \text{ times}}. \quad (12)$$

Consequently, $\text{supp } c$ is an additive subsemigroup of \mathbb{N} so, in particular, also (iii) holds true.

Now assume that the greatest common divisor of $\text{supp } a$ is 1. Since $\text{supp } c - \text{supp } c$ is an additive group of integers,

$$\text{supp } c - \text{supp } c = d\mathbb{Z}$$

for a $d \in \mathbb{N}$. If $n \in \text{supp } c$ then

$$\text{supp } c - nd \subset d\mathbb{Z},$$

whence

$$\text{supp } a \subset \text{supp } c \subset d\mathbb{Z} + nd = d\mathbb{Z}.$$

Consequently $d = 1$, that is

$$\text{supp } c - \text{supp } c = \mathbb{Z}.$$

In particular,

$$1 \in \text{supp } c - \text{supp } c$$

which means that there is a positive integer q with the property

$$q, q + 1 \in \text{supp } c. \quad (13)$$

If $q = 1$ then $\text{supp } c = \mathbb{N}$ and (cf. (12)) $\text{supp } b = \{i \in \mathbb{N} : i \geq r\}$. So assume that $q \geq 2$. Since $\text{supp } c$ is a semigroup it follows from (13) by induction that

$$iq, iq + 1, \dots, iq + i \in \text{supp } c, \quad i \in \mathbb{N}. \quad (14)$$

Put $s := (q - 1)q$ and fix an integer $i \geq s$. Then $i \geq q$, so we can choose numbers $j \in \mathbb{N}$ and $t \in \{0, \dots, q - 1\}$ in such a way that $i = jq + t$. Since $i \geq (q - 1)q$ we have $j \geq q - 1$, whence $t \in \{0, \dots, j\}$ and, according to (14), $i \in \text{supp } c$. Therefore we have shown that $\{i \in \mathbb{N} : i \geq s\} \subset \text{supp } c$. Putting $p := rs$ and taking into account (12) we see that $\{i \in \mathbb{N} : i \geq p\} \subset \text{supp } b$. \square

Proof of the Theorem. Assume that $D \subset (0, \infty)$ and let $f : D \rightarrow D$ be a solution of (4). Then f satisfies equation (11) with the sequence $b = a^{(\infty)}$. Since $D \subset (0, \infty)$ it follows from (11) that b takes the finite values only. Moreover, by the inclusion $\text{supp } a \subset \text{supp } b$ the greatest common divisor of b equals 1. Consequently, by virtue of the Proposition (with $r = 1$) we may additionally assume that

$$\{i \in \mathbb{N} : i \geq p\} \subset \text{supp } a \quad (15)$$

for a positive integer p .

We shall prove that

$$\text{the sequence } \left(\frac{f^{(n+1)i}(x)}{f^{ni}(x)} : n \in \mathbb{N}_0 \right) \text{ is increasing, } x \in D, i \geq p. \quad (16)$$

To this aim fix a point $x \in D$ and an integer $i \geq p$ and define a sequence $\alpha : \mathbb{N}_0^2 \rightarrow [0, \infty]$ by

$$\alpha(m, 0) = a_i^m f^{mi}(x)$$

and

$$\alpha(m, n) = \sum_{i_1, \dots, i_n \in \mathbb{N} \setminus \{i\}} a_i^m a_{i_1} \cdot \dots \cdot a_{i_n} f^{mi+i_1+\dots+i_n}(x)$$

whenever $n \in \mathbb{N}$. In the latter case

$$\alpha(m, n) \leq a_i^m \sum_{i_1, \dots, i_n \in \mathbb{N}} a_{i_1} \cdot \dots \cdot a_{i_n} f^{i_1+\dots+i_n}(f^{mi}(x)) = a_i^m f^{mi}(x).$$

Thus, in fact, α is a sequence of finite numbers. If $m \in \mathbb{N}_0$ and $n = 0$ then

$$\begin{aligned} & \alpha(m + 1, n) + \alpha(m, n + 1) \\ &= a_i^{m+1} f^{(m+1)i}(x) + \sum_{j \in \mathbb{N} \setminus \{i\}} a_i^m a_j f^{mi+j}(x) \\ &= a_i^m \sum_{j=1}^{\infty} a_j f^j(f^{mi}(x)) = a_i^m f^{mi}(x) = \alpha(m, n) \end{aligned}$$

and in the case $m \in \mathbb{N}_0$ and $n \in \mathbb{N}$

$$\begin{aligned}
\alpha(m, n+1) &= \sum_{i_1, \dots, i_{n+1} \in \mathbb{N} \setminus \{i\}} a_i^m a_{i_1} \cdot \dots \cdot a_{i_{n+1}} f^{mi+i_1+\dots+i_{n+1}}(x) \\
&= \sum_{i_1, \dots, i_n \in \mathbb{N} \setminus \{i\}} a_i^m a_{i_1} \cdot \dots \cdot a_{i_n} \sum_{j \in \mathbb{N} \setminus \{i\}} a_j f^j(f^{mi+i_1+\dots+i_n}(x)) \\
&= \sum_{i_1, \dots, i_n \in \mathbb{N} \setminus \{i\}} a_i^m a_{i_1} \cdot \dots \cdot a_{i_n} \left(f^{mi+i_1+\dots+i_n}(x) - a_i f^i(f^{mi+i_1+\dots+i_n}(x)) \right) \\
&= \alpha(m, n) - \sum_{i_1, \dots, i_n \in \mathbb{N} \setminus \{i\}} a_i^{m+1} a_{i_1} \cdot \dots \cdot a_{i_n} f^{(m+1)i+i_1+\dots+i_n}(x) \\
&= \alpha(m, n) - \alpha(m+1, n).
\end{aligned}$$

Therefore it follows from Lemma B that

$$\alpha(1, 0)^2 \leq \alpha(0, 0) \alpha(2, 0),$$

that is

$$(a_i f^i(x))^2 \leq x a_i^2 f^{2i}(x),$$

whence, by (15),

$$(f^i(x))^2 \leq x f^{2i}(x).$$

Thus we have shown that

$$\frac{f^i(x)}{x} \leq \frac{f^{2i}(x)}{f^i(x)}$$

for all $x \in D$ and $i \geq p$ which implies property (16).

By virtue of (16) the limit

$$\lambda(x, i) = \lim_{n \rightarrow \infty} \left[\frac{f^{(n+1)i}(x)}{f^{ni}(x)} \right]^{1/i}$$

exists for every $x \in D$ and $i \geq p$. If $x \in D$, $i \geq p$ and $m \in \mathbb{N}$ then

$$\frac{f^{ni+mi}(x)}{f^{ni}(x)} = \frac{f^{(n+1)i}(x)}{f^{ni}(x)} \cdot \frac{f^{(n+2)i}(x)}{f^{(n+1)i}(x)} \cdot \dots \cdot \frac{f^{(n+m)i}(x)}{f^{(n+m-1)i}(x)}.$$

Thus

$$\lim_{n \rightarrow \infty} \frac{f^{ni+mi}(x)}{f^{ni}(x)} = \lambda(x, i)^m, \quad x \in D, i \geq p, m \in \mathbb{N}. \quad (17)$$

We shall verify that the function $\lambda : D \times \{i \in \mathbb{N} : i \geq p\} \rightarrow (0, \infty]$ does not depend on the second variable. Fix a point $x \in D$ and numbers $i, j \geq p$. Then, by (17),

$$\lim_{n \rightarrow \infty} \frac{f^{(n+1)ij}(x)}{f^{nij}(x)} = \lim_{n \rightarrow \infty} \frac{f^{in \cdot j + ij}(x)}{f^{in \cdot j}(x)} = \lambda(x, j)^{ij}.$$

Hence, due to the symmetry of the left-hand side, $\lambda(x, i) = \lambda(x, j)$. So in the sequel we shall write $\lambda(x)$ instead of $\lambda(x, i)$.

Now we shall prove that

$$\lambda(f(x)) = \lambda(x), \quad x \in D. \quad (18)$$

Fix a point $x \in D$. On account of (17) we have

$$\lim_{n \rightarrow \infty} \frac{f^{np+(p+1)p}(x)}{f^{np}(x)} = \lambda(x)^{(p+1)p}$$

and

$$\lim_{n \rightarrow \infty} \frac{f^{np+n+1+p(p+1)}(x)}{f^{np+n+1}(x)} = \lim_{n \rightarrow \infty} \frac{f^{n(p+1)+p(p+1)}(f(x))}{f^{n(p+1)}(f(x))} = \lambda(f(x))^{p(p+1)}.$$

Thus, since $(np : n \in \mathbb{N})$ and $(np + n + 1 : n \in \mathbb{N})$ have a common subsequence, namely $((np - 1)p + np : n \in \mathbb{N})$, we obtain

$$\lambda(f(x))^{p(p+1)} = \lambda(x)^{(p+1)p},$$

that is $\lambda(f(x)) = \lambda(x)$.

Taking $r := p$ in the Proposition we obtain a sequence $b : \mathbb{N} \rightarrow [0, \infty)$ such that condition (i) holds true, f satisfies equation (11),

$$\{i \in \mathbb{N} : i \geq q\} \subset \text{supp } b \quad (19)$$

for an integer $q \geq p$ and, since b is the p th convolution power of a sequence,

$$\text{supp } b \subset \{i \in \mathbb{N} : i \geq p\}. \quad (20)$$

Fix a point $x \in D$ and a number $j \in \mathbb{N}_0$. In view of (11) and (20) we have

$$\sum_{i=p}^{\infty} b_i \frac{f^{i+j}(x)}{f^j(x)} = 1,$$

that is

$$\sum_{k=0}^{\infty} \sum_{m=p}^{2p-1} b_{kp+m} \frac{f^{j+m}(x)}{f^j(x)} \cdot \frac{f^{j+p}(f^m(x))}{f^j(f^m(x))} \cdots \frac{f^{j+kp}(f^m(x))}{f^{j+(k-1)p}(f^m(x))} = 1. \quad (21)$$

Replace j by $(2p)!j$ in this equality and take into account property (16). The sequences

$$\left(\frac{f^{(2p)!j+m}(x)}{f^{(2p)!j}(x)} : j \in \mathbb{N}_0 \right) \quad \text{and} \quad \left(\frac{f^{(2p)!j+lp}(f^m(x))}{f^{(2p)!j+(l-1)p}(f^m(x))} : j \in \mathbb{N}_0 \right)$$

are increasing (by (17) to $\lambda(x)^m$ and $\lambda(f^m(x))^p$, respectively) for every $m \in \{p, \dots, 2p-1\}$ and $l \in \mathbb{N}$. Thus, tending with j to infinity, we obtain

$$\sum_{k=0}^{\infty} \sum_{m=p}^{2p-1} b_{kp+m} \lambda(x)^m (\lambda(f(x))^p)^k = 1.$$

Hence and from (18) and (20) we infer that $\lambda(x)$ is a (positive) root of (10). Consequently, due to Proposition (i), equation (5) has exactly one positive root, say c , and

$$\lambda(x) = c, \quad x \in D.$$

Furthermore, due to (10) and (20), we can rewrite (21) as

$$\sum_{k=0}^{\infty} \sum_{m=p}^{2p-1} b_{kp+m} \left(c^{kp+m} - \frac{f^{j+m}(x)}{f^j(x)} \frac{f^{j+p}(f^m(x))}{f^j(f^m(x))} \cdots \frac{f^{j+kp}(f^m(x))}{f^{j+(k-1)p}(f^m(x))} \right) = 0.$$

Now, replacing here j by $(2p)!j$ and taking into account property (16) once again we see that

$$b_{kp+m} \left(c^{kp+m} - \frac{f^{(2p)!j+m}(x)}{f^{(2p)!j}(x)} \prod_{l=1}^k \frac{f^{(2p)!j+lp}(f^m(x))}{f^{(2p)!j+(l-1)p}(f^m(x))} \right) = 0$$

for every $j \in \mathbb{N}_0$, $k \in \mathbb{N}$ and $m \in \{p, \dots, 2p-1\}$. In particular, taking any $k \in \mathbb{N}$ such that $(k+1)p \geq q$ and making use of (19), we obtain

$$c^{kp+m} = \frac{f^{(2p)!j+m}(x)}{f^{(2p)!j}(x)} \prod_{l=1}^k \frac{f^{(2p)!j+lp}(f^m(x))}{f^{(2p)!j+(l-1)p}(f^m(x))} \quad (22)$$

for every $j \in \mathbb{N}_0$ and $m \in \{p, \dots, 2p-1\}$. However, if j increases to infinity then the product occurring in (22) increases to c^{kp} whereas

$$\frac{f^{(2p)!j+m}(x)}{f^{(2p)!j}(x)} \quad (23)$$

increases to c^m . Consequently, (23) does not depend on $j \in \mathbb{N}_0$. In particular,

$$f^m(x) = c^m x, \quad x \in D, \quad m \in \{p, \dots, 2p-1\}.$$

If $p = 1$ this means that

$$f(x) = cx, \quad x \in D, \quad (24)$$

otherwise we obtain

$$f^p(x) = c^p x \quad \text{and} \quad f^{p+1}(x) = c^{p+1} x, \quad x \in D.$$

Thus, if $x \in D$ then

$$c^p f(x) = f^p(f(x)) = f^{p+1}(x) = c^{p+1} x.$$

Consequently, if $p \geq 2$ then condition (24) holds true, too. This completes the proof in the case $D \subset (0, \infty)$. If $D \subset (-\infty, 0)$ it is enough to apply the just proved part of the theorem to the function $-D \ni x \mapsto -f(-x)$. \square

We finish the paper with the following result where no assumption concerning the support of a is needed.

Corollary. Let $a : \mathbb{N} \rightarrow \mathbb{R}$ be a non-zero sequence of non-negative reals.

If either $D \subset (-\infty, 0)$, or $D \subset (0, \infty)$ and $f : D \rightarrow D$ satisfies equation (4) then there is exactly one positive root c of equation (5) and

$$f^p(x) = c^p x$$

for every $x \in D$, where p is the greatest common divisor of $\text{supp } a$.

Proof. Putting $b_i = a_{pi}$, $i \in \mathbb{N}$, and $g = f^p$ we see that $\text{supp } a = p \text{ supp } b$, whence the greatest common divisor of $\text{supp } b$ equals 1, and g satisfies the equation

$$\sum_{i=1}^{\infty} b_i g^i(x) = x.$$

Moreover,

$$\sum_{i=1}^{\infty} a_i \lambda^i = \sum_{i=1}^{\infty} a_{pi} \lambda^{pi} = \sum_{i=1}^{\infty} b_i (\lambda^p)^i$$

for every $\lambda \in (0, \infty)$. Therefore the statement follows immediately from the Theorem applied to the sequence b and the function g . \square

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